

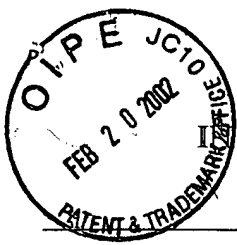
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PATENT & TRADEMARK OFFICE

PATENT



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)
Jeremy ROSENBERG *et al.*)
Serial No. 09/800,956)
Filed March 8, 2001)
For: PERSONALIZED AUDIO SYSTEM)
AND METHOD)

Docket No.: 14688-505

Group Art Unit: 2644

Examiner: *Not Yet Assigned*

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Assistant Commissioner for Patents
Washington, D.C. 20231

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

Dear Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants hereby make of record in the above-identified application the documents listed on the attached modified Form PTO-1449 (submitted in duplicate). The order of presentation of the references should not be construed as an indication of the importance of the references.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Second Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No certification or fee is required. 37 C.F.R. 1.97(b).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

Respectfully submitted,

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY
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INFORMATION DISCLOSURE CITATION IN AN APPLICATION Form PTO-1449	Docket Number	Application Number
	14688-505	09/800,956
	Applicant(s)	
	ROSENBERG <i>et al.</i>	
	Filing Date	Group Art. Unit
	March 8, 2001	2644

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	Re. 29,997	05/15/1979	den Toonder	325	308	
	2	5,481,296	01/02/1996	Cragun <i>et al.</i>	348	13	
	3	5,534,911	07/09/1996	Levitan	348	1	
	4	5,585,866	12/17/1996	Miller <i>et al.</i>	348	731	
	5	5,617,565	04/01/1997	Augenbraun <i>et al.</i>	395	604	
	6	5,635,989	06/03/1997	Rothmuller	348	563	
	7	5,899,699	05/04/1999	Kamiya	434	307 A	
	8	5,968,120	10/19/1999	Guedalia	709	219	
	9	5,986,692	11/16/1999	Logan <i>et al.</i>	348	13	
	10	6,011,854	01/04/2000	Van Ryzin	381	77	
	11	6,021,432	02/01/2000	Sizer, II <i>et al.</i>	709	217	
	12	6,085,235	07/04/2000	Clarke, Jr. <i>et al.</i>	709	219	
	13	6,088,722	07/11/2000	Herz <i>et al.</i>	709	217	
	14	6,105,060	08/15/2000	Rothblatt	709	219	
	15	5,734,961	03/31/1998	Castille	455	5.1	
	16	5,754,939	05/19/1998	Herz <i>et al.</i>	455	4.2	
	17	5,761,662	06/02/1998	Dasan	707	10	
	18	5,861,906	01/19/1999	Dunn <i>et al.</i>	348	7	
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	21	6,232,539 B1	05/15/2001	Looney <i>et al.</i>	84	609	
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	27	5,926,624	07/20/1999	Katz <i>et al.</i>	395	200.47	

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REF	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translations	
						YES	NO
28	WO 01/36064 A1	05/25/2001	International				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

29	"ClickRadio Granted First Interactive Radio License by Universal Music Group", 3 pages from the web site at www.clickradio.com , printed April 26, 2000.						
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EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.	

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